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PTO/SB/30 (09-03)

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Request for Continued Examination (RCE) Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10/071,301
Filing Date	February 8, 2002
First Named Inventor	Eiji HAMAMOTO
Art Unit	1772
Examiner Name	HON, SOW FUN
Attorney Docket Number	020588

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____

ii. ☐ Other _____

- b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☒ Other Amendment Transmittal

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other _____

3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 50-2866

i. ☐ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) 02/23/2004 CCHAU1 00000039 10071301

iii. ☒ Other any check insufficiency or additional fee 02 FC:1801 770.00 0P

b. ☒ Check in the amount of \$ 952(770+110+72) enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Nicolas E. Seckel	Registration No. (Attorney/Agent)	44,373
Signature	<i>Nicolas E. Seckel</i>	Date	February 20, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)			
Signature		Date	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Atty. Docket No.: 020588

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Eiji HAMAMOTO et al.

Confirmation No.: 1113

Serial Number: 10/071,301

Group Art Unit: 1772

Filed: February 8, 2002

Examiner: HON, SOW FUN

For: POLARIZING PLATE AND OPTICAL MEMBER

AMENDMENT UNDER 37 C.F.R. 1.114

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

February 20, 2004

Applicants respectfully request that the application be amended as follows, and that the rejection of record be reconsidered in view of the following remarks.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 5 of this paper.

A Request for Continued Examination and a Petition for a one-month extension of time are enclosed with this paper.



Atty. Docket No.: 020588

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In re the Application of:

Eiji HAMAMOTO et al.

Confirmation No.: 1113

Serial Number: 10/071,301

Group Art Unit: 1772

Filed: February 8, 2002

Examiner: HON, SOW FUN

For: POLARIZING PLATE AND OPTICAL MEMBER

AMENDMENT TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

February 20, 2004

Sir:

Transmitted herewith is an Amendment in the above-identified application.

___ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established previously.

___ A statement to establish Small Entity status under 37 CFR 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

	CLAIMS AS AMENDED						
	Claims Remaining After Amendment	Highest Number Previously Paid For		Present Extra	Small Entity	Large Entity	Additional Fee
Total Claims	24	20	=	4	X \$9	X \$18	\$72.00
Independent Claims	4	4	=		X \$43	X \$86	
First Presentation of Multiple Dependent Claims					\$145	\$290	
							\$72.00

Serial Number: 10/071,301

Group Art Unit: 1772

XX Enclosed please find our check in the amount of \$952.00 (\$770.00 for the RCE, \$110.00 for the extension of time, and \$72.00 for the additional claims fee) in connection with this amendment. The Commissioner is hereby authorized to charge payment for any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP



Nicolas E. Seckel
Attorney for Applicants
Reg. No. 44,373

Atty. Docket No. 020588

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